

**Supplemental Statement**

**Pursuant to the Foreign Agents Registration Act of  
1938, as amended**

For Six Month Period Ending June 30, 2016

(insert date)

**I - REGISTRANT**

1. (a) Name of Registrant (b) Registration No.  
Orion Strategies 5437

(c) Business Address(es) of Registrant  
611 Pennsylvania Ave. SE  
#437  
Washington, D.C. 20003

2. Has there been a change in the information previously furnished in connection with the following?

(a) If an individual:

(1) Residence address(es)	Yes <input type="checkbox"/>	No <input type="checkbox"/>
(2) Citizenship	Yes <input type="checkbox"/>	No <input type="checkbox"/>
(3) Occupation	Yes <input type="checkbox"/>	No <input type="checkbox"/>

(b) If an organization:

(1) Name	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
(2) Ownership or control	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
(3) Branch offices	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>

(c) Explain fully all changes, if any, indicated in Items (a) and (b) above.

**IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, AND 5(a).**

3. If you have previously filed Exhibit C<sup>1</sup>, state whether any changes therein have occurred during this 6 month reporting period.

Yes ☐ No ☐

If yes, have you filed an amendment to the Exhibit C? Yes ☐ No ☐

If no, please attach the required amendment.

<sup>1</sup> The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, National Security Division, U.S. Department of Justice, Washington, DC 20530.)

4. (a) Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period?

Yes ☐ No ☒

If yes, furnish the following information:

Name	Position	Date Connection Ended
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(b) Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?

Yes ☐ No ☒

If yes, furnish the following information:

Name	Residence Address	Citizenship	Position	Date Assumed
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5. (a) Has any person named in Item 4(b) rendered services directly in furtherance of the interests of any foreign principal?

Yes ☐ No ☐

If yes, identify each such person and describe the service rendered.

(b) During this six month reporting period, has the registrant hired as employees or in any other capacity, any persons who rendered or will render services to the registrant directly in furtherance of the interests of any foreign principal(s) in other than a clerical or secretarial, or in a related or similar capacity? Yes ☐ No ☒

Name	Residence Address	Citizenship	Position	Date Assumed
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(c) Have any employees or individuals, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	Position or Connection	Date Terminated
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(d) Have any employees or individuals, who have filed a short form registration statement, terminated their connection with any foreign principal during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	Position or Connection	Foreign Principal	Date Terminated
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6. Have short form registration statements been filed by all of the persons named in Items 5(a) and 5(b) of the supplemental statement?

Yes ☐ No ☐

If no, list names of persons who have not filed the required statement.

**II - FOREIGN PRINCIPAL**

7. Has your connection with any foreign principal ended during this 6 month reporting period? Yes ☐ No ☐

If yes, furnish the following information:

Foreign Principal

Date of Termination

8. Have you acquired any new foreign principal(s)<sup>2</sup> during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name and Address of Foreign Principal(s)

Date Acquired

9. In addition to those named in Items 7 and 8, if any, list foreign principal(s)<sup>2</sup> whom you continued to represent during the 6 month reporting period.

Embassy of Japan

10. (a) Have you filed exhibits for the newly acquired foreign principal(s), if any, listed in Item 8?

Exhibit A<sup>3</sup> Yes ☐ No ☐

Exhibit B<sup>4</sup> Yes ☐ No ☐

If no, please attach the required exhibit.

- (b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period? Yes ☒ No ☐

If yes, have you filed an amendment to these exhibits? Yes ☒ No ☐

If no, please attach the required amendment.

<sup>2</sup> The term "foreign principal" includes, in addition to those defined in Section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a) (9)). A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

<sup>3</sup> The Exhibit A, which is filed on Form NSD-3, sets forth the information required to be disclosed concerning each foreign principal.

<sup>4</sup> The Exhibit B, which is filed on Form NSD-4, sets forth the information concerning the agreement or understanding between the registrant and the

**III - ACTIVITIES**

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☒ No ☐

If yes, identify each foreign principal and describe in full detail your activities and services:

Please See Attachment A

12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity<sup>5</sup> as defined below? Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

Please See Attachment A

13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits your foreign principal(s)? Yes ☐ No ☒

If yes, describe fully.

<sup>5</sup> "Political activity," as defined in Section 1(o) of the Act, means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic

**IV - FINANCIAL INFORMATION****14. (a) RECEIPTS-MONIES**

During this 6 month reporting period, have you received from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes ☒ No ☐

If no, explain why.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.<sup>6</sup>

Date	From Whom	Purpose	Amount
12/1/15	Embassy of Japan	Consultant Fee	\$40,000
1/1/16	Embassy of Japan	Consultant Fee	\$40,000
2/1/16	Embassy of Japan	Consultant Fee	\$40,000
3/1/16	Embassy of Japan	Consultant Fee	\$40,000
4/1/16	Embassy of Japan	Consultant Fee	\$40,000
5/1/16	Embassy of Japan	Consultant Fee	\$40,000

\$240,000

Total

**(b) RECEIPTS - FUNDRAISING CAMPAIGN**

During this 6 month reporting period, have you received, as part of a fundraising campaign<sup>7</sup>, any money on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☐ No ☒

If yes, have you filed an Exhibit D<sup>8</sup> to your registration? Yes ☐ No ☐

If yes, indicate the date the Exhibit D was filed. Date: \_\_\_\_\_

**(c) RECEIPTS-THINGS OF VALUE**

During this 6 month reporting period, have you received any thing of value<sup>9</sup> other than money from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal?

Yes ☐ No ☒

If yes, furnish the following information:

Foreign Principal	Date Received	Thing of Value	Purpose
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<sup>6, 7</sup> A registrant is required to file an Exhibit D if he collects or receives contributions, loans, moneys, or other things of value for a foreign principal, as part of a fundraising campaign. (See Rule 201(e)).

<sup>8</sup> An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fundraising campaign and transmitted for a foreign principal.

<sup>9</sup> Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

**15. (a) DISBURSEMENTS-MONIES**

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☒ No ☐(2) transmitted monies to any such foreign principal? Yes ☐ No ☐

If no, explain in full detail why there were no disbursements made on behalf of any foreign principal.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Date	To Whom	Purpose	Amount
12/15/15	Parking Fee	Meeting	\$20.00
1/7/16	Parking Fee	Meeting	\$20.00
1/28	Parking Fee	Meeting	\$20.00
2/7	Parking Fee	Meeting	\$20.00
3/7	Parking Fee	Meeting	\$20.00
3/22	Parking Fee	Meeting	\$20.00
4/12	Parking Fee	Meeting	\$20.00
5/16	Parking Fee	Meeting	\$20.00
5/25	Parking Fee	Meeting	\$20.00
6/8	Parking Fee	Meeting	\$20.00
6/24	Parking Fee	Meeting	\$20.00

\$220

Total

**(b) DISBURSEMENTS-THINGS OF VALUE**

During this 6 month reporting period, have you disposed of anything of value<sup>10</sup> other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 7, 8, or 9 of this statement?

Yes ☐No ☒

If yes, furnish the following information:

Date	Recipient	Foreign Principal	Thing of Value	Purpose
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**(c) DISBURSEMENTS-POLITICAL CONTRIBUTIONS**

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value<sup>11</sup> in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes ☐No ☒

If yes, furnish the following information:

Date	Amount or Thing of Value	Political Organization or Candidate	Location of Event
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10, 11 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

**V - INFORMATIONAL MATERIALS**

16. (a) During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any informational materials?<sup>12</sup>

Yes ☐No ☒

If Yes, go to Item 17.

(b) If you answered No to Item 16(a), do you disseminate any material in connection with your registration?

Yes ☐No ☐

If Yes, please forward the materials disseminated during the six month period to the Registration Unit for review.

17. Identify each such foreign principal.

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating informational materials? Yes ☐ No ☒

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of informational materials include the use of any of the following:

☐ Radio or TV broadcasts☐ Magazine or newspaper☐ Motion picture films☐ Letters or telegrams☐ Advertising campaigns☐ Press releases☐ Pamphlets or other publications☐ Lectures or speeches☐ Other (specify) \_\_\_\_\_**Electronic Communications**☐ Email☐ Website URL(s): \_\_\_\_\_☐ Social media websites URL(s): \_\_\_\_\_☐ Other (specify) \_\_\_\_\_

20. During this 6 month reporting period, did you disseminate or cause to be disseminated informational materials among any of the following groups:

☐ Public officials☐ Newspapers☐ Libraries☐ Legislators☐ Editors☐ Educational institutions☐ Government agencies☐ Civic groups or associations☐ Nationality groups☐ Other (specify) \_\_\_\_\_

21. What language was used in the informational materials:

☐ English☐ Other (specify) \_\_\_\_\_

22. Did you file with the Registration Unit, U.S. Department of Justice a copy of each item of such informational materials disseminated or caused to be disseminated during this 6 month reporting period? Yes ☐ No ☐

23. Did you label each item of such informational materials with the statement required by Section 4(b) of the Act?

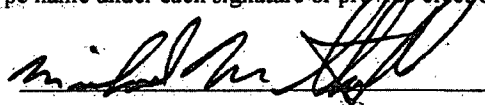
Yes ☐No ☐

12. The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.

**VI - EXECUTION**

In accordance with 28 U.S.C. § 1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature)

(Print or type name under each signature or provide electronic signature<sup>13</sup>)6-30-166-30-16  
R Sch

<sup>13</sup> This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

No reporting required.

Week of May 8

No reporting required

Week of May 15

Mike Mitchell: Conversation with Paul Grove, Senate Appropriations Committee, regarding Japanese contributions to strengthening democracy in Asia.

Mike Mitchell: Conversation with Dave Hanke, Office of Sen. Cornyn, on US-Japanese-Taiwanese security issues.

Week of May 22

No reporting required

Week of May 29

Mike Mitchell: Conversation with Craig Castagna, International Republican Institute, on U.S.-Japanese resources to strengthen democracy in Asia.

**Week of February 31**

**Mike Mitchell:** Telephone conversation with staff of Maryland State senate Education, Health and Environmental Affairs committee on hearing schedule.

**Week of February 7**

**Mike Mitchell:** Hallway conversation with Carolyn Leddy, Senate Foreign Relations Committee on Japanese maritime security issues.

**Week of February 14**

**No reporting required**

**Week of February 21**

**No reporting required**

**Week of February 28**

**Mike Mitchell:** Telephone conversation with Maryland Del. John Mautz on Maryland House schedule.

**Week of March 6**

**No reporting required**

**Week of March 13**

**Mike Mitchell:** Telephone conversation with Dan Blumenthal, AEI, regarding Japanese maritime security issues in East China Sea.

**Week of March 20**

**Mike Mitchell:** Meeting with Rupert Chambers, US-Taiwan Business Council to discuss Japan-Taiwan maritime security issues.

**Week of March 27**

**No reporting required**

**Week of April 3**

**No reporting required**

**Week of April 10**

**Mike Mitchell:** Conversation with House Rules staff on committee schedule.

**Week of April 17**

**No reporting required**

**Week of April 24**

**Mike Mitchell:** Meeting with Brian Joseph, National Endowment for Democracy, to discuss Japanese democracy building programs.

**Mike Mitchell:** Meeting with Lynn Lee, National Endowment for Democracy, to discuss Japanese democracy building programs.

**Week of May 1**

**Attachment A**

**11. During this 6 month period, have you engaged in any activities for or rendered any services to any foreign principal in Items 7, 8 and 9 of statement? Yes**

**If yes, identify such foreign principal and describe in detail your activities and services:**

The Republic of Montenegro through the Ministry of Foreign Affairs in Podgorica, Montenegro and the Embassy of Japan, Orion Strategies LLC has engaged in the following activities:

**Activities of Michael Mitchell for Embassy of Japan**

Week of December

Mike Mitchell: Telephone conversation with Dave Hanke, office of Senator John Cornyn, on Japanese-US security issues.

Week of December 13

Mike Mitchell: Telephone conversation with Dave Hanke, office of Senator John Cornyn, on Japanese-US security issues.

Week of December 20

No reporting required

Week of December 27

No reporting required

Week of January 3

Mike Mitchell: Telephone call with Maryland Rules Committee staff to determine session scheduling.

Week of January 10

Mike Mitchell: Discussion with Rupert Hammond, US-Taiwan Business Council on Japan-Taiwan security issues.

Mike Mitchell: Telephone conversation with Dan Blumenthal, AEI, regarding Japan security issues.

Week of January 17

No reporting required.

Week of January 24

Mike Mitchell: Telephone conversation with Michael Schiffer, SFRC, on Japan-US security issues.

Mike Mitchell: Telephone conversation with Kelley Currie, Project 2049, regarding Chinese actions in South China Sea.

Mike Mitchell: Meeting with Kelley Currie, Project 2049, regarding Chinese actions in the East Sea and South China Sea.

**Attachment A**

**11. During this 6 month period, have you engaged in any activities for or rendered any services to any foreign principal in Items 7, 8 and 9 of statement? Yes**

**If yes, identify such foreign principal and describe in detail your activities and services:**

The Republic of Montenegro through the Ministry of Foreign Affairs in Podgorica, Montenegro and the Embassy of Japan, Orion Strategies LLC has engaged in the following activities:

**Activities of Michael Mitchell for Embassy of Japan**

Week of December

Mike Mitchell: Telephone conversation with Dave Hanke, office of Senator John Cornyn, on Japanese-US security issues.

Week of December 13

Mike Mitchell: Telephone conversation with Dave Hanke, office of Senator John Cornyn, on Japanese-US security issues.

Week of December 20

No reporting required

Week of December 27

No reporting required

Week of January 3

Mike Mitchell: Telephone call with Maryland Rules Committee staff to determine session scheduling.

Week of January 10

Mike Mitchell: Discussion with Rupert Hammond, US-Taiwan Business Council on Japan-Taiwan security issues.

Mike Mitchell: Telephone conversation with Dan Blumenthal, AEI, regarding Japan security issues.

Week of January 17

No reporting required.

Week of January 24

Mike Mitchell: Telephone conversation with Michael Schiffer, SFRC, on Japan-US security issues.

Mike Mitchell: Telephone conversation with Kelley Currie, Project 2049, regarding Chinese actions in South China Sea.

Mike Mitchell: Meeting with Kelley Currie, Project 2049, regarding Chinese actions in the East Sea and South China Sea.

Week of February 31

Mike Mitchell: Telephone conversation with staff of Maryland State senate Education, Health and Environmental Affairs committee on hearing schedule.

Week of February 7

Mike Mitchell: Hallway conversation with Carolyn Leddy, Senate Foreign Relations Committee on Japanese maritime security issues.

Week of February 14

No reporting required

Week of February 21

No reporting required

Week of February 28

Mike Mitchell: Telephone conversation with Maryland Del. John Mautz on Maryland House schedule.

Week of March 6

No reporting required

Week of March 13

Mike Mitchell: Telephone conversation with Dan Blumenthal, AEI, regarding Japanese maritime security issues in East China Sea.

Week of March 20

Mike Mitchell: Meeting with Rupert Chambers, US-Taiwan Business Council to discuss Japan-Taiwan maritime security issues.

Week of March 27

No reporting required

Week of April 3

No reporting required

Week of April 10

Mike Mitchell: Conversation with House Rules staff on committee schedule.

Week of April 17

No reporting required

Week of April 24

Mike Mitchell: Meeting with Brian Joseph, National Endowment for Democracy, to discuss Japanese democracy building programs.

Mike Mitchell: Meeting with Lynn Lee, National Endowment for Democracy, to discuss Japanese democracy building programs.

Week of May 1

No reporting required

Week of May 8

No reporting required

Week of May 15

Mike Mitchell: Conversation with Paul Grove, Senate Appropriations Committee, regarding Japanese contributions to strengthening democracy in Asia.

Mike Mitchell: Conversation with Dave Hanke, Office of Sen. Cornyn, on US-Japanese-Taiwanese security issues.

Week of May 22

No reporting required

Week of May 29

Mike Mitchell: Conversation with Craig Castagna, International Republican Institute, on U.S.-Japanese resources to strengthen democracy in Asia.